

**Committee on Science, Space, and Technology  
U.S. House of Representatives  
Witness Disclosure Requirement - "Truth in Testimony"  
Required by House Rule XI, Clause 2(g)(5)**

1. Your Name: <i>KATERI CALLAHAN</i>		
2. Are you testifying on behalf of the Federal, or a State or local government entity?	Yes	No <i>X</i>
3. Are you testifying on behalf of an entity that is not a government entity?	Yes <i>X</i>	No
4. Other than yourself, please list which entity or entities you are representing: <i>ALLIANCE TO SAVE ENERGY</i>		
5. Please list any Federal grants, cooperative agreements, or contracts (including subgrants or subcontracts) that <u>you or the entity you represent have received</u> on or after October 1, 2012: <i>SEE ATTACHED LIST</i>		
6. Please list any foreign government payments that <u>you or the entity you represent have received</u> on or after October 1, 2012: <i>SEE ATTACHED LIST</i>		
7. If your answer to the question in item 3 in this form is "yes," please describe your position or representational capacity with the entity(ies) you are representing: <i>PRESIDENT, ALLIANCE TO SAVE ENERGY</i>		
8. If your answer to the question in item 3 is "yes," do any of the entities disclosed in item 4 have parent organizations, subsidiaries, or partnerships that you are not representing in your testimony?	Yes	No <i>X</i>
9. If the answer to the question in item 3 is "yes," please list any Federal grants, cooperative agreements, or contracts (including subgrants or subcontracts) that were received by the entities listed under the question in item 4 on or after October 1, 2012, that exceed 10 percent of the revenue of the entities in the year received, including the source and amount of each grant or contract to be listed:		

I certify that the above information is true and correct.

Signature: *Kateri Callahan* Date: *Feb. 8, 2015*

Alliance To Save Energy And Affiliate

Schedule Of Expenditures Of Federal Awards  
Year Ended December 31, 2012

Federal Grantor/Passthrough Grantor/Program Title	Federal CFDA Number	Agency Or Passthrough Number	Federal Expenditures	Amounts Provided To Subrecipients
<b>U.S. Department of Energy</b>				
<b>State Energy Program</b>				
Passthrough from VA Department of Mines	81.041	C11-6059	\$ 1,105,394	\$ 1,005,003
Passthrough from Alabama DECA	81.041	1DOE11 RETROFIT03	563,281	460,493
Passthrough from Pennsylvania DEP	81.041	702441100-V1131000000-359034000000-660700	18,571	-
<b>Subtotal</b>			<u>1,687,246</u>	<u>1,465,496</u>
<b>Energy Efficiency and Renewable Energy Information Dissemination, Outreach, Training and Technical Analysis/Assistance</b>				
Achieving Regional Energy Efficiency Potential in Southeast	81.117	DE-EE0005826	850	-
Passthrough from TVA Green Schools	81.117	74513	568,686	28,497
Passthrough from LBNL:				
ITP Program Support	81.117	DE-AC02-05CH11231	191,120	-
Savings Potential for FEMP		DE-AC02-05CH11231	150,160	-
Passthrough from NASEO	81.117	DE-EE-0002445	39,939	-
Passthrough from United Technologies	81.117	DE-EE-0004261	9,574	-
Recovery Act – Passthrough from Battie Memorial Institute		DE-AC05-76L01830	50,478	-
<b>Subtotal</b>			<u>1,010,807</u>	<u>28,497</u>
<b>State Energy Program Special Projects</b>				
Recovery Act: Passthrough from GIT	81.119	DE-EE00001547 SIEA-ARRA-2010-101	3,925	-
<b>Subtotal</b>			<u>3,925</u>	<u>-</u>
<b>State Energy Program Special Projects</b>				
Passthrough from Morgan State University	81.086	DE-EE0004261	63,981	-
<b>Subtotal</b>			<u>63,981</u>	<u>-</u>
<b>Energy Efficiency and Conservation Block Grant Program</b>				
Recovery Act: EECBG-Southeast Community Retrofit Ramp-Up Consortium	81.128	DE-EE0003575	6,447,270	4,480,780
<b>Subtotal</b>			<u>6,447,270</u>	<u>4,480,780</u>
<b>Total U.S. Department of Energy</b>			<u>9,213,229</u>	<u>5,974,773</u>
<b>U.S. Agency for International Development</b>				
Passthrough from IRG-Ukraine	98.N/A	EPP-I-00-03-00006-00	120,354	-
Passthrough from IRG-Regional E&E	98.N/A	EPP-I-00-03-00006-00	95,981	-
Passthrough from Winrock International	98.N/A	AID-486-C--1200008	7,461	-
<b>Total U.S. Agency for International Development</b>			<u>223,796</u>	<u>-</u>
<b>U.S. Environmental Protection Agency</b>				
<b>Surveys, Studies, Research, Investigations, Demonstrations, and Special Purpose Activities Relating to the Clean Air Act</b>				
Reducing Gas Emissions	66.034	XA-83421001-0	88,197	-
Passthrough from MDA-ED	66.034	GT11-302-001	194,584	-
Passthrough from City of New Orleans	66.034	US2008 2790753.1	698,000	698,000
Reducing Gas Emissions	66.034	XA-83380001	64,455	-
<b>Total U.S. Environmental Protection Agency</b>			<u>1,045,236</u>	<u>698,000</u>
<b>Total Expenditures of Federal Awards</b>			<u>\$ 10,482,261</u>	<u>\$ 6,672,773</u>

See Notes To Schedule Of Expenditures Of Federal Awards.

# Alliance To Save Energy And Affiliate

## Schedule Of Expenditures Of Federal Awards Year Ended December 31, 2013

Amounts Federal Grantor/Passthrough Grantor/Program Title	Federal CFDA Number	Agency Or Passthrough Number	Federal Expenditures	Provided To Subrecipients
<b>U.S. Department of Energy</b>				
<b>State Energy Program</b>				
Passthrough from VA Department of Mines	81.041	C11-6059	\$ 890,424	\$ 749,830
Passthrough from Alabama DECA	81.041	1DOE11 RETROFIT03	620,922	456,507
Passthrough from Mississippi	81.041	GT11-302-001	137,976	-
Passthrough from Ohio	81.041	DE-FG26-07NT43269	16,817	-
<b>Subtotal State Energy Program</b>			<b>1,666,139</b>	<b>1,206,337</b>
<b>Energy Efficiency and Renewable Energy Information Dissemination, Outreach, Training and Technical Analysis/Assistance</b>				
Achieving Regional Energy Efficiency Potential in Southeast Passthrough from Oak Ridge National Laboratory:	81.117	DE-EE0005826	284,116	-
Technical Support of Asia Pacific Program	81.117	DE-AC05-00OR22725	91,212	-
2013 US-China Eco-Cities Mayoral Exchange		DE-AC05-00OR22725	198,055	-
Fall 2013 US-China Eco-Cities Mayoral Exchange: Ministry of Housing and Urban-Rural Development (MOHURD) Delegation		DE-AC05-00OR22725	28,488	-
Passthrough from LBNL: Efficient Windows Collaborative support for LBNL Fenestration Energy Efficiency Research and Tools	81.117	DE-AC02-05CH11231	42,538	-
ITP Program Support		DE-AC02-05CH11231	12,662	-
Savings Potential for FEMP		DE-AC02-05CH11231	29,992	-
<b>Subtotal Energy Efficiency and Renewable Energy Information Dissemination, Outreach, Training and Technical Analysis/Assistance</b>			<b>687,063</b>	<b>-</b>
<b>Energy Efficiency and Conservation Block Grant Program</b>				
American Reinvestment and Recovery Act: EECBG-Southeast Community Retrofit Ramp-Up Consortium	81.128	DE-EE0003575	6,145,460	4,409,779
<b>Subtotal Energy Efficiency and Conservation Block Grant Program</b>			<b>6,145,460</b>	<b>4,409,779</b>
<b>Total U.S. Department of Energy</b>			<b>6,832,523</b>	<b>4,409,779</b>
<b>U.S. Agency for International Development</b>				
<b>Foreign Assistance for Programs Overseas Program</b>				
Passthrough from Winrock International: Enhancing capacity for Low Emmission Development Strategies Clean Energy Program (EC-LEDS)	98.001	AID-114-A-13-00008	30,130	-
Low Emmissions Development and Clean Energy Investment (LED-CEI)	98.001	AID-165-LA-13-00001	3,313	-
Vietnam Clean Energy Program	98.001	AID-486-C--1200008	250,170	-
Passthrough from ICF	98.001	AID-486-C-11-00002	65,197	-
Passthrough from Deloitte	98.001	AID-OAA-I-13-00018	6,242	-
Passthrough from Tetra Tech	98.001	EPP-I-00-03-00008-00	99,663	-
<b>Total U.S. Agency for International Development</b>			<b>454,715</b>	<b>-</b>
<b>U.S. Environmental Protection Agency</b>				
<b>Surveys, Studies, Research, Investigations, Demonstrations, and Special Purpose Activities Relating to the Clean Air Act</b>				
Reducing Gas Emissions	66.034	XA-83421001-0	40,337	-
<b>Total U.S. Environmental Protection Agency</b>			<b>40,337</b>	<b>-</b>
<b>Total Expenditures of Federal Awards</b>			<b>\$ 8,993,714</b>	<b>\$ 5,616,116</b>

*See accompanying notes to the Schedule of Expenditures of Federal Awards.*

**ALLIANCE TO SAVE ENERGY**

**SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS**

YEAR ENDED DECEMBER 31, 2014

Federal Grantor/Passthrough Grantor/Program Title	Federal CFDA Number	Grant Number	Federal Expenditures
<b>U.S. Department of Energy:</b>			
<b>State Energy Programs</b>	81.041		
Passthrough from Ohio		DE-FG26-07NT43269	\$ 2,924
<b>Total DOE - State Energy Programs</b>	<b>81.041</b>		<b><u>2,924</u></b>
<b>Energy Efficiency and Renewable Energy Information Dissemination Outreach, Training and Technical Analysis/Assistance</b>	81.117		
Passthrough from Brookhaven National Laboratory		DE-AC02-98CH10886	2,924
Passthrough from ORNL			
China Eco Cities		DE-AC-05-00OR22725	13,870
China Mayor Exchange		DE-AC-05-00OR22725	5,328
Passthrough from LBNL			
ITP Program Support		DE-AC02-05CHI1231	4,065
Savings Potential for FEMP		DE-AC02-05CHI1231	130,272
Agricultural Conservation Easement Program		DE-AC02-05CHI1231	<u>33,776</u>
<b>Total DOE - Energy Efficiency and Renewable Energy Information Dissemination Outreach, Training and Technical Analysis/Assistance</b>	<b>81.117</b>		<b><u>190,235</u></b>
<b>Total U.S. Department of Energy</b>			<b><u>\$ 193,159</u></b>
<b>U.S. Agency for International Development</b>			
<b>Foreign Assistance for Programs Overseas</b>	98.001		
Passthrough from ICF Incorporated		AID-486-C-11-00002	579
Passthrough from Deloitte		AID-OAA-I--13-00018	124,677
Passthrough from Winrock International			
Vietnam Clean Energy Program		AID-486-C-1200008	122,240
Low Emissions Development & Clean Energy		AID-165-LA-13-00001	280
Clean Energy Program for Georgia		AID-114-A-13-00008	<u>83,177</u>
<b>Total USAID Foreign Assistance for Programs Overseas</b>	<b>98.001</b>		<b><u>330,953</u></b>
<b>Total U.S. Agency for International Development</b>			<b><u>\$ 330,953</u></b>

See accompanying note to schedule of expenditures of federal awards.

**Alliance to Save Energy and Affiliate  
Schedule of Expenditures of Federal Awards  
Year Ended December 31, 2015**

ASE Project Number	Federal Grantor/Passthrough Grantor/Program Title	Start	End	Subcontract	CFDA Number	Agency or Pass through Number	Subcontract No.
<b>Department of Energy</b>							
<b>ORNL</b>							
20387.000.001	ACEP30 Increase E. Prod>EE	10/20/2014	12/31/2016		81.117	DE-AC02-05CH11231	7202529
12000.000.001	DLANHAM	6/16/2015	5/31/2016		81.117	DE-AC02-05CH11231	7237397
<b>Environmental Protection Agency</b>							
<b>USAID</b>							
20347.000.001	WIVCEP Vietnam*	12/6/2012	6/30/2017	Winrock	98.001	AID-486-C--1200008	6478-12-01
20363.000.001	ICFLEA ICE LEAD	5/25/2013	9/26/2016				13SZSK0195
20374.000.001	WIGAE EC LEDS Georgia	10/14/2013	9/30/2015	Winrock	98.001	AID-114-A-13-00008	6579-13-04
20375.000.001	DESSCB Deloitte Jordan*	10/4/2013	7/24/2016	Deloitte	98.001	AID-OAA-I-13-00018	3468-0000-SA-14,TO1
<b>State of Ohio</b>							
<b>Total</b>							

## Item 6

Alliance to Save Energy  
Foreign government payments received  
For the period 2012-2015

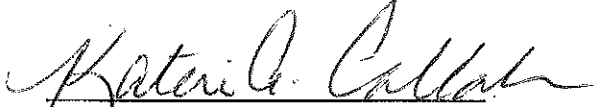
	Project No.	2012	2013	2014	2015	Total
Asia-Pacific Energy Research Center						
	20324	19,880.00				19,880.00
		19,880.00	-			19,880.00
Asia-Pacific Economic Cooperation						
	20310	19,880.00				19,880.00
	20270	10,571.50				10,571.50
		30,451.50	-	-	-	30,451.50
Econoler International, Inc.						
	20342	406.35				406.35
	20336	1,250.00	29,138.97			30,388.97
	20336			10,754.60		10,754.60
	52001				57,965.57	57,965.57
		1,656.35	29,138.97	10,754.60	57,965.57	99,515.49
European Bank for Reconstruction & Development						
	20337	12,372.40	108,374.80	6,714.50		127,461.70
		12,372.40	108,374.80	6,714.50	-	127,461.70
Pierce Atwood for European Bank for Reconstruction & Development						
	20366		46,744.00	11,386.00		58,130.00
		-	46,744.00	11,386.00	-	58,130.00
IFC (World Bank)						
	20306	173,334.40				173,334.40
	20334	3,500.00				3,500.00
	20376			3,495.93	31,193.32	34,689.25
		176,834.40	-	3,495.93	31,193.32	211,523.65
Ministry for Foreign Affairs of Finland						
	20341		48,492.00			48,492.00
Refunded back to EEP					(48,492.00)	(48,492.00)
		-	48,492.00	-	(48,492.00)	-
REEEP International Secretariat						
	20217	38,090.70				38,090.70
	20321	37,561.07	54,676.79	26,822.38		119,060.24
					43,370.31	43,370.31
		75,651.77	54,676.79	26,822.38	43,370.31	200,521.25
Grand Total		316,846.42	287,426.56	59,173.41	84,037.20	747,483.59

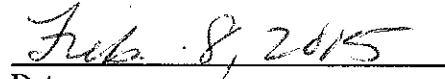
Enclosure 4

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False Statements Act Certification

You are specifically advised that providing false information to this Committee/Subcommittee, or concealing material information from this Committee/Subcommittee, is a crime, and you can be punished for that. If you acknowledge this, please sign the bottom of this form and return to the Committee. This form will be made part of the hearing record.

  
Witness signature

  
Date