

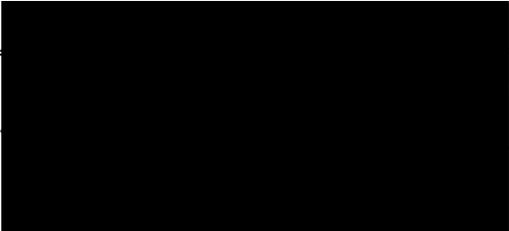
Committee on Science, Space, and Technology

U.S. House of Representatives

Witness Disclosure Requirement - "Truth in Testimony"
Required by House Rule XI, Clause 2(g)(5)

| | | |
|--|---|--|
| 1. Your Name: <i>Phillip Cornwell</i> | | |
| 2. Are you testifying on behalf of the Federal, or a State or local government entity? | Yes | No <input checked="" type="checkbox"/> |
| 3. Are you testifying on behalf of an entity that is not a government entity? | Yes <input checked="" type="checkbox"/> | No |
| 4. Other than yourself, please list which entity or entities you are representing: <i>Rose-Hulman Institute of Technology</i> | | |
| 5. Please list any Federal grants or contracts (including subgrants or subcontracts) that you or the entity you represent have received on or after October 1, 2010: <i>Phillip Cornwell - none</i> <i>Rose-Hulman - see attached. If the committee would like more information I am happy to provide it.</i> | | |
| 6. If your answer to the question in item 3 in this form is "yes," please describe your position or representational capacity with the entity(ies) you are representing: <i>I'm the Vice President for Academic Affairs.</i> | | |
| 7. If your answer to the question in item 3 is "yes," do any of the entities disclosed in item 4 have parent organizations, subsidiaries, or partnerships that you are not representing in your testimony? | Yes | No <input checked="" type="checkbox"/> |
| 8. If the answer to the question in item 3 is "yes," please list any Federal grants or contracts (including subgrants or subcontracts) that were received by the entities listed under the question in item 4 on or after October 1, 2010, that exceed 10 percent of the revenue of the entities in the year received, including the source and amount of each grant or contract to be listed: <i>NA.</i> | | |

I certify
Signature



correct.

Date: *1/6/2014*

ROSE-HULMAN INSTITUTE OF TECHNOLOGY

SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2011

| Federal Grantor/Pass-Through Grantor/Program Title | Federal CFDA No. | Federal Expenditures |
|--|---------------------|-------------------------|
| STUDENT FINANCIAL ASSISTANCE CLUSTER — U.S. Department of Education: | | |
| Federal Direct Loans Program | 84.268 | \$ 15,820,259 |
| Federal Supplemental Educational Opportunity Grant | 84.007 | 213,780 |
| Federal Work Study Program | 84.033 | 427,963 |
| Federal Perkins Loan Program | 84.038 | 6,339,358 |
| Federal Pell Grant | 84.063 | 1,267,119 |
| Academic Competitiveness Grant | 84.375 | 120,449 |
| National Science & Mathematics Access to Retain Talent | 84.376 | <u>311,648</u> |
| Total U.S. Department of Education | | <u>24,500,576</u> |
| RESEARCH AND DEVELOPMENT: | | |
| <i>U.S. Department of Defense:</i> | | |
| Intelligent Fiber Optic Systems (pass-through of \$23,332) | 12.XXX | 23,332 |
| Science Applications International | 12.XXX | 157,866 |
| Department of Air Force | 12.XXX | 135,796 |
| Mercer Engineering Research Center (pass-through of \$125,954) | 12.XXX | 125,954 |
| Polyphase Microwave, Inc. | 12.XXX | 75,924 |
| Advanced Research Projects Agency | 12.910 | <u>1,630</u> |
| Total U.S. Department of Defense — Research and Development | | <u>520,502</u> |
| <i>National Science Foundation:</i> | | |
| Rensselaer Polytechnic Institute (pass-through of \$26,244) | 47.041 | 369,867 |
| Indiana University/Case Western Reserve University (pass-through of \$17,108) | 47.049 | 106,670 |
| Computer and Information Science Engineering | 47.070 | 5,061 |
| University of Missouri-Rolla/Stark State Coll Tech/Stevens Inst of Tech/Purdue University (pass-through of \$50,538) | 47.076 | 214,295 |
| Mathematical Association of America (pass-through of \$2,000) | 47.XXX | 2,000 |
| Case Western Reserve University (pass-through of (\$34)) | 47.XXX | (34) |
| Office of Cyberinfrastructure | 47.080 | <u>814</u> |
| Total National Science Foundation | | <u>698,673</u> |
| <i>Department of Health and Human Services</i> | | |
| National Institute of Health | 93.847 | <u>192,346</u> |
| <i>U.S. Department of Education</i> | | |
| Fund for Improvement of Postsecondary Education | 84.215 | <u>12,154</u> |
| Total Research and Development | | <u>1,423,675</u> |
| TOTAL EXPENDITURES OF FEDERAL AWARDS | | <u>\$ 25,924,251</u> |

See notes to schedule of expenditures of federal awards.

ROSE-HULMAN INSTITUTE OF TECHNOLOGY

SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2012

| Federal Grantor/Pass-Through Grantor/Program Title | Federal CFDA No. | Federal Expenditures |
|--|---------------------|-----------------------------|
| STUDENT FINANCIAL ASSISTANCE CLUSTER — U.S. Department of Education: | | |
| Federal Direct Loans Program | 84.268 | \$ 15,795,487 |
| Federal Supplemental Educational Opportunity Grant | 84.007 | 189,074 |
| Federal Work Study Program | 84.033 | 233,418 |
| Federal Perkins Loan Program | 84.038 | 6,088,702 |
| Federal Pell Grant | 84.063 | <u>1,294,836</u> |
| Total U.S. Department of Education | | <u>23,601,517</u> |
| RESEARCH AND DEVELOPMENT: | | |
| <i>U.S. Department of Defense:</i> | | |
| Mercer Engineering Research Center (pass-through of \$12,519) | 12.XXX | 12,519 |
| Science Applications International (pass-through of \$7,989) | 12.XXX | 7,989 |
| nLight Photonics Corp. (pass-through of \$61,057) | 12.XXX | 61,057 |
| Department of Air Force | 12.XXX | <u>157,071</u> |
| Total U.S. Department of Defense — Research and Development | | <u>238,636</u> |
| <i>National Science Foundation:</i> | | |
| Engineering Grants (pass-through of \$90,678) | 47.041 | 100,820 |
| Mathematical and Physical Sciences (pass-through of \$29,167) | 47.049 | 156,235 |
| Education and Human Resources (pass-through of \$32,07) | 47.076 | 175,736 |
| Office of Cyberinfrastructure | 47.080 | <u>26,383</u> |
| Total National Science Foundation | | <u>459,174</u> |
| <i>Department of Health and Human Services</i> | | |
| National Institute of Health | 93.847 | <u>228,723</u> |
| Total Research and Development | | <u>926,533</u> |
| TOTAL EXPENDITURES OF FEDERAL AWARDS | | \$ <u>24,528,050</u> |

See notes to schedule of expenditures of federal awards.

ROSE-HULMAN INSTITUTE OF TECHNOLOGY, INC.

**SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
FOR THE YEAR ENDED JUNE 30, 2013**

| Federal Grantor/Pass-Through Grantor/Program Title | Federal CFDA No. | Federal Expenditures |
|---|---------------------|-----------------------------|
| STUDENT FINANCIAL ASSISTANCE CLUSTER: | | |
| <i>U.S. Department of Education:</i> | | |
| Federal Direct Loans Program | 84.268 | \$ 15,835,056 |
| Federal Supplemental Educational Opportunity Grant | 84.007 | 194,447 |
| Federal Work Study Program | 84.033 | 212,683 |
| Federal Perkins Loan Program | 84.038 | 6,111,533 |
| Federal Pell Grant | 84.063 | <u>1,484,144</u> |
| Total U.S. Department of Education | | <u>23,837,863</u> |
| RESEARCH AND DEVELOPMENT: | | |
| <i>U.S. Department of Defense:</i> | | |
| Department of Air Force | 12.XXX | 287,366 |
| nLight Photonics Corp. (pass-through of \$62,201) | 12.XXX | <u>62,201</u> |
| Total U.S. Department of Defense — Research and Development | | <u>349,567</u> |
| <i>National Science Foundation:</i> | | |
| Engineering Grants (pass-through of \$72,035) | 47.041 | 83,889 |
| Mathematical and Physical Sciences (pass-through of \$17,327) | 47.049 | 56,914 |
| Education and Human Resources (pass-through of \$29,914) | 47.076 | 259,467 |
| Office of Cyberinfrastructure | 47.080 | <u>17,080</u> |
| Total National Science Foundation | | <u>417,350</u> |
| <i>Department of Health and Human Services</i> | | |
| National Institute of Health | 93.847 | <u>117,550</u> |
| <i>U.S. Department of Transportation</i> | | |
| McKinney/University of Illinois | 20.701 | <u>12,563</u> |
| <i>U.S. Department of Education</i> | | |
| Fund for Improvement of Postsecondary Education | 84.116 | <u>16,171</u> |
| Total Research and Development | | <u>913,201</u> |
| TOTAL EXPENDITURES OF FEDERAL AWARDS | | \$ <u>24,751,064</u> |