

Enclosure 4

False Statements Act Certification

You are specifically advised that providing false information to this Committee/Subcommittee, or concealing material information from this Committee/Subcommittee, is a crime, and you can be punished for that. If you acknowledge this, please sign the bottom of this form and return to the Committee. This form will be made part of the hearing record.



Witness signature

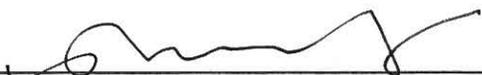
7/21/2017

Date

**Committee on Science, Space, and Technology
U.S. House of Representatives
Witness Disclosure Requirement - "Truth in Testimony"
Required by House Rule XI, Clause 2(g)(5)**

1. Your Name: A. Paul Alivisatos		
2. Are you testifying on behalf of the Federal, or a State or local government entity?	Yes X	No
3. Are you testifying on behalf of an entity that is not a government entity?	Yes	No X
4. Other than yourself, please list which entity or entities you are representing: University of California, Berkeley		
5. Please list any Federal grants, cooperative agreements, or contracts (including subgrants or subcontracts) that <u>you or the entity you represent have received</u> on or after October 1, 2014: UC Berkeley has received \$1.067 billion in federal awards since October 1, 2014.		
6. Please list any foreign government payments that <u>you or the entity you represent have received</u> on or after October 1, 2014: UC Berkeley has received \$28.9 million in awards from foreign government agencies since October 1, 2014.		
7. If your answer to the question in item 3 in this form is "yes," please describe your position or representational capacity with the entity(ies) you are representing:		
8. If your answer to the question in item 3 is "yes," do any of the entities disclosed in item 4 have parent organizations, subsidiaries, or partnerships that you are not representing in your testimony?	Yes	No
9. If the answer to the question in item 3 is "yes," please list any Federal grants, cooperative agreements, or contracts (including subgrants or subcontracts) that were received by the entities listed under the question in item 4 on or after October 1, 2014, that exceed 10 percent of the revenue of the entities in the year received, including the source and amount of each grant or contract to be listed:		

I certify that the above information is true and correct.

Signature:  Date: 7/21/2017